CAUSE NO. B 200364

JAMIE SMITH, DISTRICT CLERK
Jefferson County, Texas

DEPUTY

AIG EUROPE LTD.

Plaintiff,

IN THE DISTRICT COURT

V.

§ § §

JEFFERSON COUNTY, TEXAS

CATERPILLAR INC. AND DRAGON PRODUCTS, LLC

Defendants.

JUDICIAL DISTRICT

JURY DEMANDED

PLAINTIFF'S ORIGINAL PETITION AND REQUEST FOR DISCLOSURE

Comes now, AIG EUROPE LTD., Plaintiff herein, complains of CATERPILLAR INC. and DRAGON PRODUCTS, LLC, and alleges upon information and belief as follows:

I. DISCOVERY CONTROL LEVEL

1. Pursuant to the Texas Rules of Civil Procedure, discovery shall be conducted in accordance with Tex. R. Civ. P. 190.3 (Level 2).

II. PARTIES

- 2. Plaintiff AIG Europe Ltd. ("AIG"), was and is now a foreign corporation with its principle office and place of business in London, England. Pursuant to a policy of insurance, AIG paid its insured, Baker Hughes Incorporated, for the loss of and damage to equipment that is the subject of this lawsuit and has become subrogated to its insureds' rights, however those rights may appear.
- 3. Defendant Caterpillar Inc. ("Caterpillar"), was and is now a foreign corporation with its principal office and place of business in Peoria, Illinois. Caterpillar has regularly engaged in business in Texas by selling and marketing products in the state of Texas. Caterpillar may be



served with process through its registered agent for service of process CT Corporation System, 1999 Bryan Street, Suite 900, Dallas, Texas 75201.

4. Defendant Dragon Products, LLC ("Dragon"), was and is now a Texas corporation or similar entity which regularly does business in Texas. Dragon maintains a regular place of business in Texas at 1655 Louisiana Street, Beaumont, Texas 77701. Dragon may be served with process through its registered agent Casey Crenshaw, 1655 Louisiana Street, Beaumont, Texas 77701.

III. JURISDICTION AND VENUE

- 5. This court has subject matter jurisdiction as the amount in controversy exceeds \$500.00 and no other court has exclusive jurisdiction over this case.
- 6. Venue is proper in Jefferson County, Texas under Section 15.002 and 15.005 of the Texas Civil Practice and Remedies Code because at least one defendant's principal office in the state is located in this county and the actions against all defendants arise out of the same transaction, occurrence, or series of occurrences.

IV. FACTS

- 7. Baker Hughes Incorporated ("BHI") entered into a Master Service Agreement with XTO Energy Inc. ("MSA"). Pursuant to the MSA, BHI performed certain oilfield services for XTO, such as frac pumping operations.
- 8. In July 2016, pursuant to the MSA, BHI was to conduct frac pumping operations at the St. Lucia Well Site, approximately 75 miles west of Odessa, Texas and 15 miles north of Mentone, Texas in Loving County, Texas. BHI had mobilized various types of equipment to complete the operation including, but not limited to, frac pump trailers, blender units, Sand King units, dual



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conveyor units, manifold units and chem add units. Baker Hughes was to utilize several frac

pumping units including one identified as Unit Number 3.

9. Upon information and belief, Caterpillar was the designer, manufacturer and/or supplier of

an oil well servicing diesel engine ("Engine") installed on the frac pumping unit identified as Unit

Number 3.

10. Upon information and belief, Dragon was the designer, manufacturer and/or supplier of the

frac pumping unit identified as Unit Number 3 which included the Engine manufactured by

Caterpillar.

11. BHI purchased the frac pumping unit identified as Unit Number 3 for certain oilfield

services including frac pumping operations.

12. On July 12, 2016, during frac pumping operations at the St. Lucia Well Site, a fire occurred

which originated in Unit Number 3.

13. The fire spread causing damage to other equipment owned by BHI located at the St. Lucia

Well Site including, but not limited to, frac pump trailers, a manifold trailer, Sand King units,

blender units, and a dual conveyor belt.

14. The cause of the fire was found to be the failure of the Engine. The fire occurred when a

connecting rod of the Engine was "thrown" through the crankcase which allowed fuel and/or oil

within the engine to ignite.

15. The Engine and its component parts were inadequate, insufficient, and/or unsuitable for

use at the St. Lucia Well Site.

16. Plaintiff AIG was, and is now, the insurer of the Engine and other equipment owned by

BHI that was damaged located at the St. Lucia Well Site.

SEFFERSON COUNTY

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July 20, 2017

JAMIE SMITH, DISTRICT CLERK
JEFFERSON COUNTY, TEXAS

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V. COUNT 1-NEGLIGENCE

- 17. Plaintiff repeats and re-alleges each and every allegation set forth in paragraphs 1 through 16 as if set forth herein at length.
- 18. Defendants knew, or in the exercise of reasonable care should have known, the Engine as manufactured and/or sold was and is a device of such a nature that if not properly manufactured, designed, tested, inspected, and distributed was likely to cause damage to property.
- 19. Defendants had a duty to potential users of the Engine and BHI, to properly and safely design, manufacture, inspect, distribute, market, and/or provide instructions for the Engine.
- 20. The Engine manufactured and/or supplied by Defendants was defective in its design, manufacture, and otherwise had deficient instructions regarding procedures for its installation and/or use.
- 21. Defendants knew, or in the exercise of reasonable care should have known, that the Engine would be used for various applications, including the frac pumping operations.
- 22. The Engine was designed, manufactured, and/or supplied by Defendants was not suitable for its intended use during frac pumping operations.
- 23. Defendants were negligent in selecting and supplying the Engine which was inadequate and/or insufficient to prevent its failure during normal operation because it "threw" a connecting rod during normal operation.
- 24. Defendants were negligent in selecting and supplying the Engine which was inadequate, insufficient, and/or unsuitable because it "threw" a connecting rod during normal operation.
- 25. As a direct and foreseeable consequence of the Defendants' negligence in selecting and/or supplying the Engine, and negligence in providing instructions for the Engine, and the subsequent



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failure of the Engine, a fire occurred at the St. Lucia Well Site, resulting in damages to equipment owned by BHI.

VI. COUNT 2-DEFECT IN DESIGN

Plaintiff repeats and re-alleges each and every allegation set forth in paragraphs 1 through

25 as if set forth herein at length.

27. The Engine was designed, manufactured, and/or supplied by Defendants in a defective

condition when supplied and/or distributed to BHI.

28. Defendants knew, or in the exercise of reasonable care should have known, that the Engine

was intended to be used for various applications, including frac pumping operations.

29. Defendants knew, or in the exercise of reasonable care should have known, that the failure

of the Engine would threaten property.

30. The Engine was expected to and, in fact, did reach BHI, as user of the Engine, without

substantial change in the condition in which it was advertised, sold, and/or supplied by Defendants.

31. The design of the Engine was defective in that it was not sufficient to prevent the engine

from "throwing" a connecting rod during normal operation.

32. The design of the Engine was defective and inadequate because it "threw" a connecting

rod during normal operation.

33. The Engine was unreasonably dangerous to Plaintiff and its property in that it "threw" a

connecting rod during normal operation.

34. The Engine was in a defective condition and unreasonably dangerous to BHI and its

property located at the St. Lucia Well Site in that the failure of the Engine would and did result in

a fire which caused damage to equipment owned by BHI.

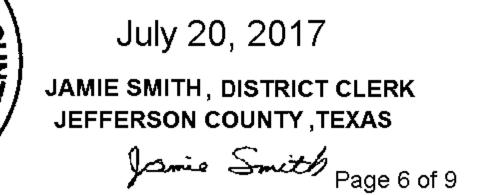


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35. As a direct and foreseeable consequence of the defective design of the Engine and its subsequent failure, a fire occurred at the St. Lucia Well Site, resulting in damages to equipment owned by BHI.

VI. COUNT 3-DEFECT IN MANUFACTURE

- 36. Plaintiff repeats and re-alleges each and every allegation set forth in paragraphs 1 through 35 as if set forth herein at length.
- 37. The Engine, including component parts, which was designed, manufactured, and/or supplied by Defendants was in a defective condition when supplied and/or distributed to BHI.
- 38. Defendants knew, or in the exercise of reasonable care should have known, that the Engine was intended to be used for various applications, including frac pumping operations.
- 39. Defendants knew, or in the exercise of reasonable care should have known, that the failure of the Engine would threaten property.
- 40. The Engine was expected to and, in fact, did reach BHI, as user of the Engine, without substantial change in the condition in which it was advertised, sold, and/or supplied by Defendants.
- 41. The manufacture of the Engine was defective in that it was not sufficient to prevent the engine from "throwing" a connecting rod during normal operation.
- 42. The manufacture of the Engine was defective in that the Engine was inadequate because it "threw" a connecting rod during normal operation, thus, the Engine was also unstable.
- 43. The Engine was unreasonably dangerous to Plaintiff and its property in that the failure of the Engine placed property owned by BHI at risk of harm.
- 44. The Engine was in a defective condition and unreasonably dangerous to BHI and its property located at the St. Lucia Well Site in that the failure of the Engine would and did result in a fire was sed GERTIFY THIS IS A TRUE COPY to would by BHI.



45. As a direct and foreseeable consequence of the defective manufacture of the Engine and its subsequent failure, a fire occurred at the St. Lucia Well Site, resulting in damages to equipment owned by BHI.

VI. COUNT 4-BREACH OF CONTRACT, QUASI-CONTRACT, AND/OR WARRANTY

- 46. Plaintiff repeats and re-alleges each and every allegation set forth in paragraphs 1 through 45 as if set forth herein at length.
- 47. If any of the damages alleged herein are governed by principles of contract, quasi-contract, and/or warranty, Defendants have breached such provisions, duties, and obligations with respect to the Engine.
- 48. Dragon and/or Caterpillar breached the implied warranty of merchantability.
- 49. At the time of the sale, the Engine was not of merchantable quality. The Engine was not fit for its ordinary purpose because it "threw" a connecting rod during normal operation.
- 50. As a direct and foreseeable consequence of the breach of the implied warranty of merchantability, a fire occurred at the St. Lucia Well Site, resulting in damages to equipment owned by BHI.

VII. DAMAGES

As a direct and proximate result of the activities described above, Plaintiff has suffered damages in the amount of at least ELEVEN MILLION, EIGHT HUNDRED THOUSAND AND 00/100 DOLLARS (\$11,800,000), plus prejudgment interest and post judgment interest.

VIII. JURY DEMAND

52. Plaintiff demands a jury trial and tenders the appropriate fee with this petition.



IX.

CONDITIONS PRECEDENT

53. All conditions precedent necessary to maintain this lawsuit have been performed or have occurred.

IX. REQUEST FOR DISCLOSURE

54. Pursuant to Rule 194 of the Texas Rules of Civil Procedure, Plaintiff requests that Defendants disclose, within fifty (50) days of service of this petition, the information or material described in Rule 194.2(a-l).

X. PRAYER

WHEREFORE, PREMISES CONSIDERED, Plaintiff prays that Defendants be cited to appear and answer herein and that, upon final trial, Plaintiff have judgment against Defendants as follows:

- a. actual damages of at least \$11,800,000 for the above-stated causes of action;
- b. prejudgment interest and postjudgment interest at the maximum legal rate;
- c. reasonable and necessary attorney's fees;
- d. all costs of court; and
- e. for such other and further relief, general or special, at law or in equity, to which Plaintiff may show itself to be justly entitled.



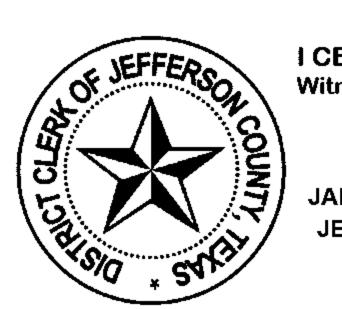
Respectfully submitted,

/S/ Ryan J. Vechan

RYAN J. VECHAN
Texas Bar No.:24093298
Hill Rivkins LLP
55 Waugh Drive, Suite 1200
Houston, Texas 77007
Telephone: (713) 222-1515

Facsimile: (713) 222-1313 E-mail: rvechan@hillrivkins.com

ATTORNEY FOR PLAINTIFF AIG EUROPE LTD.



I CERTIFY THIS IS A TRUE COPY Witness my Hand and Seal of Office

July 20, 2017

JAMIE SMITH, DISTRICT CLERK
JEFFERSON COUNTY, TEXAS

Jamie Smith Page 9 of 9

CIVIL CASE INFORMATION SHEET (REV. 2/13)

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the time of filing.						4110	
1. Contact information for pers	son completing case information sh	reet:	Names of parties In	case:		or eatily completing sheet as	
Name:	Email:		Plaintiff(s)/Petitioner	(s):		rey for Plaintiff/Petitioner Plaintiff/Petitioner V-D Agency JUL 12 2017	
Ryan Vechan	rvechanahille	NVKING.	A16 Euro	pe Ltd	Other		
Address:	Telephone:	om				JAMIE SMITH, DISTRIC	CLERK
55 Waugh Drive.	713.222-1515	•			Addition B	al Parties in Child Support Case:	DEPU
City/State/Zip: 5te 1200	•		Defendant(s)/Respon	- ,	Custodía	Parent:	
Houston Tx. 7700	7 713 222 1350	4	Caterpilla		Non-Cas	todial Parent:	
Signature:	State Bar No:		Dragen P	roducts			
Alla-	24043298		ilc	· · · · · · · · · · · · · · · · · · ·	Presumed	1 Father:	
			(Attach additional page as m	ecessary to list all parties			
2. Indicate case type, or identify	the most important issue in the co	ise (selec	only I):				
 	Civil				Fan	Post-judgment Actions	
Contract	Injury or Damage		Real Property	Marriage Relat	ionship	(non-Title IV-D)	
Debt/Contract Consumer/DTPA	☐ Assault/Battery ☐ Construction		inent Domain/ ndemnation	☐ Annulment ☐ Declare Marris	age Void	☐ Enforcement ☐ Modification—Custody	
Debt/Contract	Defamation	Par	tition	Divarce	· •	Modification—Other	
☐ Fraud/Misrepresentation ☐ Other Debt/Contract:	Malpractice	· — ·	iet Title	☐ With Child		Title IV-D	
Other Deby Contract:	Accounting Legal		spass to Try Title or Property:	Tivo Cunates		☐ Enforcement/Modification ☐ Paternity	
Foreclosure	Medical					Reciprocals (UIFSA)	
☐ Home Equity— Expedited☐ Other Foreclosure	Other Professional Liability:					Support Order	1
Franchise	Carlos Andres	Re	elated to Criminal Matters	Other Famil	v I.aw	Parent-Child Relationship	
☐ Insurance ☐ Landlord/Tenant	☐ Motor Vehicle Accident☐ Premises	□ Exp	unction	Enforce Forci		Adoption/Adoption with	1
Non-Competition	Product Liability		gment Nisi	Judgment		Termination	
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	Other Injury or Damage:			Other:		Parentage/Paternity	octra system
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Employment	Other		Fit - : 51:	-		Other Parent-Child:	
☐ Discrimination ☐ Retaliation	Administrative Appeal Antitrust/Unfair		vyer Discipline petuate Testimony				
Termination	Competition	Sec	urities/Stock				
	☐ Code Violations ☐ Foreign Judgment		tious Interference				
	☐ Intellectual Property						
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☐ Tax Delinquency ☐ Other Tax	☐ Dependent Administration ☐ Independent Administration]Guardianship—Min]Mental Health	OL		
	Other Estate Proceedings	••		Other:		-	
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3. Indicate procedure or remedy Appeal from Municipal or Just	y, if applicable (may select more that	<i>un I):</i> tory Judg:	ment	Preiud	dgment Ren	redy	
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Attachment				Recei	ver stration		
☐Bill of Review ☐Certiorari	☐ License ☐ Mandan					ining Order/Injunction	
Class Action	CERTIEY THIS IS PRINTED	igpy-nt		Tumo	ver		
4. Indicate damages soliging the Son selective is in a family lawestate of fice Less than \$100,000 including damages of any kind penalties, costs, expenses, pre-judgment interest, and attorney fees Less than \$100,000 and penalties are selected and penalties are selected and s							
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Instructions for Completing the Texas Civil Case Information Sheet

A civil case information sheet must be completed and submitted when an original petition or application is filed to initiate a new civil, family law, probate, or mental health case or when a post-judgment petition for modification or motion for enforcement is filed in a family law case. The information should be the best available at the time of filing. If the original petition, application or post-judgment petition or motion is e-filed, the case information sheet must not be the lead document.

This sheet, required by Rule 78a of the Texas Rules of Civil Procedure, is intended to collect information that will be used for statistical and administrative purposes only. It neither replaces nor supplements the filings or service of pleading or other documents as required by law or rule. The sheet does not constitute a discovery request, response, or supplementation, and it is not admissible at trial.

The attorney or self-represented (pro se) plaintiff/petitioner filing the case or post-judgment petition or motion should complete the sheet as follows:

1. Contact information

- a) Contact information for person completing case information sheet. Enter the following information:
 - name;
 - address;
 - city, state, and zip code;
 - email address;
 - telephone number;
 - fax number, if available;
 - State Bar number, if the person is an attorney; and
 - signature. (NOTE: When a case information sheet is submitted electronically, the signature may be a scanned image or "/s/" and the name of the person completing the case information sheet typed in the space where the signature would otherwise appear.)
- b) Names of parties in the case. Enter the name(s) of the:

(NOTE: If the name of a party to a case is confidential, enter the party's initials rather than the party's name.)

- plaintiff(s) or petitioner(s);
- defendant(s) or respondent(s); and
- in child support cases, additional parties in the case, including the:
 - o custodial parent;
 - non-custodial parent; and
 - presumed father.

Attach an additional page as necessary to list all parties.

- c) Person or entity completing sheet is. Indicate whether the person completing the sheet, or the entity for which the sheet is being completed, is:
 - an attorney for the plaintiff or petitioner;
 - a pro se (self-represented) plaintiff or petitioner;
 - the Title IV-D agency; or
 - other (provide name of person or entity).

2. Case type.

Select the case category that best reflects the most important issue in the case. You must select only one.

3. Procedure or remedy.

If applicable, select any of the available procedures or remedies being sought in the case. You may select more than one.

4. Damages sought.

Select the damages being sought in the case:

(NOTE: If the claim is governed by the Family Code, do not indicate the damages sought.)

- only monetary relief of \$100,000 or less, including damages of any kind, penalties, costs, expenses, pre-judgment interest and attorney fees;
- monetary relief over \$100,000 or less and non-monetary relief;
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 - v research over \$2000,000 or the relation \$1,000,000; or

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JAMIE SMITH, DISTRICT CLERK **JEFFERSON COUNTY, TEXAS**

Jamie Smith Page 2 of 2

CIVIL PROCESS REQUEST FORM

	ED YOU MUST FURNISH ONE (1) COPY O (2) COPIES OF THE PLEADING PER PAR	
CASE NUMBER:	CURRENT COURT:	
TYPE OF INSTRUMENT TO BE SERVED (See Reverse For Types): Plainitiff's Original P	etion and Request for Disclosure
FILE DATE OF MOTION: July 12, 2017 Month/ Day/	Year	FILED o'clock M
SERVICE TO BE ISSUED ON (Please List E	xactly As The Name Appears In The Pleadin	ig To Be Served) L 1 2 2017
1. NAME: Caterpillar, Inc.		JAMIE SMITH, DISTRICT CLERK
ADDRESS: 1999 Bryan Street, Suite 9	00, Houston, Texas 75201	Jefferson County, Texas DEPUT
AGENT, (if applicable): c/o CT Corporation	on System	BY
TYPE OF SERVICE/PROCESS TO BE ISSU	ED (see reverse for specific type): Citaton for servi	ice of process
	CONSTABLE thorized Person to Pick-up: CERTIFIED MAIL URTHOUSE DOOR, or WSPAPER OF YOUR CHOICE:	Phone:
	nail back to attorney	- call to advise when
A	TTENTION: Effective June1, 2010	ready 713.212.15
Requesting Party, we require that the Reque	for mail back. Thanks you,	to MAIL something back to the ed Envelope with sufficient postage
*************	**************	********
2. NAME: Dragon Products LLC		
ADDRESS: 1655 Louisiana Street, Bea	umont, Texas 77701	
AGENT, (if applicable): c/o Casey Crensha	aw	
TYPE OF SERVICE/PROCESS TO BE ISSU	ED (see reverse for specific type): Citatoin for serv	ice of process
SERVICE BY (check one): ATTORNEY PICK-UP	CONSTABLE	
CIVIL PROCESS SERVER - A	uthorized Person to Pick-up:	Phone:
MAIL	CERTIFIED MAIL	
□ NEV	URTHOUSE DOOR, or WSPAPER OF YOUR CHOICE:	1 to advise when reader 413-222-1515
ATTORNEY (OR ATTORNEY'S AGENT) R	EQUESTING SERVICE:	
NAME: Ryan J. Vechan TEXAS BAR NO./	ID NO. 24093298	
MAILING ADDRESSEFF RIVERS FLAB 555 Witness my Hand and S		as 77007
PHONE NUMBER 71 -228 1515 FAX NUM JAMIE SMITH, DISTRIC	BER: 713-222-1359	
EMAIL ADDRESS SOVESTION HILLING HILLIN	Page 1 of 2	

SERVICE REQUESTS WHICH CANNOT BE PROCESSED BY THIS OFFICE WILL BE HELD FOR 30 DAYS PRIOR TO CANCELLATION. FEES WILL BE REFUNDED ONLY UPON REQUEST, OR AT THE DISPOSITION OF THE CASE. SERVICE REQUESTS MAY BE REINSTATED UPON APPROPRIATE ACTION BY THE PARTIES.

INSTRUMENTS TO BE SERVED:	PROCESS TYPES:
(Fill In Instrument Sequence Number, i.e. 1st, 2nd, etc.)	
ODICINIAL DETITION	NON WRIT:
ORIGINAL PETITION / AMENDED PETITION	CITATION ALIAS CITATION
SUPPLEMENTAL PETITION	PLURIES CITATION
SCITEDIMENTING TEXAMON	SECRETARY OF STATE CITATION
	COMMISSIONER OF INSURANCE
COUNTERCLAIM	HIGHWAY COMMISSIONER
AMENDED COUNTERCLAIM	CITATION BY PUBLICATION
SUPPLEMENTAL COUNTERCLAIM	NOTICE
	SHORT FORM NOTICE
CROSS-ACTION:	
AMENDED CROSS-ACTION	PRECEPT (SHOW CAUSE)
SUPPLEMENTAL CROSS-ACTION	RULE 106 SERVICE
THIRD-PARTY PETITION:	SUBPOENA
AMENDED THIRD-PARTY PETITION	
SUPPLEMENTAL THIRD-PARTY PETITION	WRITS:
	ATTACHMENT (PROPERTY)
INTERVENTION:	ATACHMENT (WITNESS)
AMENDED INTERVENTION	ATTACHMENT (PERSON)
SUPPLEMENTAL INTERVENTION	
INTERPLEADER	CERTIORARI
AMENDED INTERPLEADER	
SUPPLEMENTAL INTERPLEADER	EXECUTION
	EXECUTION AND ORDER OF SALE
	GARNISHMENT BEFORE JUDGMENT
INJUNCTION	GARNISHMENT AFTER JUDGMENT
MOTION TO MODIFY	HABEAS CORPUS
SHOW CAUSE ORDER	INJUNCTION
TEMPORARY RESTRAINING ORDER	TEMPORARY RESTRAINING ORDER
	PROTECTIVE ORDER (FAMILY CODE)
	PROTECTIVE ORDER (CIVIL CODE)
BILL OF DISCOVERY:	
ORDER TO:	POSSESSION (PERSON)
(specify)	POSSESSION (PROPERTY)
MOTION TO:	
(specify)	
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	SUPERSEDEAS



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July 20, 2017

JAMIE SMITH, DISTRICT CLERK
JEFFERSON COUNTY, TEXAS

Jamie Smith Page 2 of 2

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E-FILING

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Cause No: B-0200364 Date: 7/13/17 Receipt No: 387801

Style: AIG EUROPE LTD

vs CATERPILLAR INC ET AL

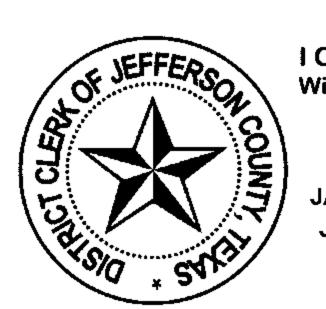
Paid By: VECHAN, RYAN J

Amt Paid: 364.64 EFILE018168580-0 Bal Due:

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15.00	MEDIATION CENTER FEE		
15.00	STENO		
5.00	SECURITY FEE		
10.00	INDIGENT FEE		
42.00	JUDICIAL SUPPORT		
5.00	APPELLATE JUDICIAL SYSTE		
30.00	ELECTRONIC FILING FEE		

JAMIE SMITH, CLERK DISTRICT COURTS Jefferson County, Texas

By:	
ODBC	Deputy



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July 20, 2017

JAMIE SMITH, DISTRICT CLERK

JEFFERSON COUNTY, TEXAS

Page 1 of 1



THE STATE OF TEXAS

No. B-0200364

AIG EUROPE LTD VS. CATERPILLAR INC ET AL

CITATION

60 th JUDICIAL DISTRICT COURT of JEFFERSON COUNTY, TEXAS

To: CATERPILLAR INC

BY SERVING ITS REGISTERED AGENT

CT CORPORATION SYSTEM

by serving at:
1999 BRYAN STREET
SUITE 900
HOUSTON, TX 75201

DEFENDANT:

NOTICE:

You have been sued. You may employ an attorney. If you or your attorney do not file a written answer with the clerk who issued this citation by 10:00 a.m. on the Monday next following the expiration of twenty days after you were served this citation and petition, a default judgment may be taken against you. Said answer may be filed by mailing same to: District Clerk's Office, 1085 Pearl, Room 203, Beaumont, TX 77701, (or if the case is designated as an E-file case, E-file through Lexis Nexis file and serve) or by bringing it to the office. The case is presently pending before the 60 th District Court of Jefferson County sitting in Beaumont, Texas, and was filed on the 13th day of July, 2017. It bears cause number B-0200364 and is styled:

Plaintiff:

AIG EUROPE LTD

VS.

CATERPILLAR INC ET AL

Defendant:

The name and address of the attorney for plaintiff (or plaintiff if pro se) is:

VECHAN, RYAN J, Atty.
55 WAUGH DRIVE SUITE 1200
HOUSTON, TX 77007 0

The nature of the demands of said plaintiff is shown by a true and correct copy of Plaintiff's PETITION (PLAINTIFF'S ORIGINAL) REQUEST FOR DISCLOSURE, ALSO ATTACHED LETTER DESIGNATING ALL CASES E FILED. accompanying this citation and made a part thereof.

Issued under my hand and the seal of said court, at Beaumont, Texas, this the 13th day of July, 2017.

JAMIE SMITH, DISTRICT CLERK JEFFERSON COUNTY, TEXAS

Witness my Hand and Seal of Office

July 20, 2017

JAMIE SMITH, DISTRICT CLERK

JEFFERSON COUNTY, TEXAS

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BY

Deputy

Valencia

	RETU	RN OF SERVICE	
AIG EUROPE LTD CATERPILLAR INC ET AL		T	
	iginal citation, was delivered to def	endant	, on the day of
		By:	, Officer , County, Texas , Deputy
BY SERVING ITS REGIST: HOUSTON, TX 75201 00			
	<u>OFFI</u>	CER'S RETURN	
	· · · · · · · · · · · · · · · · · · ·	of the within named defendants companying copy of the Citation	in person, a true copy of this Citation at the following times and places, to-wit: nd Distance from Courthouse
And not executed as to the d	efendant(s),		
The diligence used in findin	g said defendant(s) being:		
and the cause or failure to ex	ecute this process is:		
and the information received	l as to the whereabouts of said defend	lant(s) being:	
FEES:	Ф.		
Serving Petition and Copy Total	\$ ¢		, Officer
1 Otal	Ψ		, Officer , County, Texas
		By:	, Deputy
		Affiant	
In accordance with Rule 107 is not required to be verified signed under penalty of perjuting. "My name is	If the return is signed by a person our and contain the following statem	ho serves, or attempts to serve, a ther than a sheriff, constable or the	citation shall sign and return. The signature he clerk of the court, the return shall be, and my address is
(Street, City, Zip) I DECLARE UNDER PENA	ALTY OF PERJURY THAT THE F	ORGOING IS TRUE AND CORI	RECT.
Executed in	, County, State of, or	n the day of	
JOE JEFFERS	I CERTIFY THIS IS A TRUE COPY Witness my Hand and Seal of Office	Declarant/Authorized	Process Server
TORISIO * SAL	July 20, 2017 JAMIE SMITH, DISTRICT CLERK JEFFERSON COUNTY, TEXAS Page 2 of 2	(Id # expiration of cert	ification)



THE STATE OF TEXAS

No. B-0200364

AIG EUROPE LTD VS. CATERPILLAR INC ET AL

CITATION

60 th JUDICIAL DISTRICT COURT of JEFFERSON COUNTY, TEXAS

To: DRAGON PRODUCTS LLC
BY SERVING ITS REGISTERED AGENT

CASEY CRENSHAW

by serving at:
1655 LOUISIANA STREET
BEAUMONT, TX 77701

DEFENDANT:

NOTICE:

You have been sued. You may employ an attorney. If you or your attorney do not file a written answer with the clerk who issued this citation by 10:00 a.m. on the Monday next following the expiration of twenty days after you were served this citation and petition, a default judgment may be taken against you. Said answer may be filed by mailing same to: District Clerk's Office, 1085 Pearl, Room 203, Beaumont, TX 77701, (or if the case is designated as an E-file case, E-file through Lexis Nexis file and serve) or by bringing it to the office. The case is presently pending before the 60 th District Court of Jefferson County sitting in Beaumont, Texas, and was filed on the 13th day of July, 2017. It bears cause number B-0200364 and is styled:

Plaintiff:

VS.

CATERPILLAR INC ET AL

AIG EUROPE LTD

Defendant:

The name and address of the attorney for plaintiff (or plaintiff if pro se) is:

VECHAN, RYAN J, Atty.
55 WAUGH DRIVE SUITE 1200
HOUSTON, TX 77007 0

The nature of the demands of said plaintiff is shown by a true and correct copy of Plaintiff's PETITION (PLAINTIFF'S ORIGINAL) REQUEST FOR DISCLOSURE, ALSO ATTACHED LETTER DESIGNATING ALL CASES E FILED. accompanying this citation and made a part thereof.

Issued under my hand and the seal of said court, at Beaumont, Texas, this the 13th day of July, 2017.

JAMIE SMITH, DISTRICT CLERK JEFFERSON COUNTY, TEXAS

BY

Deputy

OF JEFFERSON COUNTY

I CERTIFY THIS IS A TRUE COPY Witness my Hand and Seal of Office

July 20, 2017

JAMIE SMITH, DISTRICT CLERK

JEFFERSON COUNTY, TEXAS

Jamie Smith Page 1 of 2

Valencia

<u>RETURN C</u>	OF SERVICE	
B-0200364 60 th JUDICIAL DISTRICT COURT AIG EUROPE LTD CATERPILLAR INC ET AL		
Executed when copy was delivered:		
This is a true copy of the original citation, was delivered to defendant	nt	, on the day of
, 20		
		, Officer
		, County, Texas
ADDRESS FOR SERVICE: DRAGON PRODUCTS LLC BY SERVING ITS REGISTERED AGENT	By:	, Deputy
BEAUMONT, TX 77701 0000 OFFICER	'S RETURN	
Came to hand on the day of, 20, a	t o'clock r	n., and executed in
, County, Texas by delivering to each of the	ne within named defendants in per	rson, a true copy of this Citation
with the date of delivery endorsed thereon, together with the accomp		
Name Date/Time	Place, Course and Dis	stance from Courthouse
And not executed as to the defendant(s),		
The diligence used in finding said defendant(s) being:		
and the cause or failure to execute this process is:		
and the information received as to the whereabouts of said defendant(s)) being:	
FEES:		
Serving Petition and Copy \$		
Total \$, Officer
		, County, Texas
	By:	, Deputy
	A fficent	
	Affiant	
COMPLETE IF YOU ARE A PERSON OTHER THAN A In accordance with Rule 107: The officer of authorized person who set is not required to be verified. If the return is signed by a person other to signed under penalty of perjury and contain the following statement: "My name is , my date of	rves, or attempts to serve, a citation han a sheriff, constable or the cleri	n shall sign and return. The signature
(First, Middle, Last)		-
(Street, City, Zip) I DECLARE UNDER PENALTY OF PERJURY THAT THE FORGO	DING IS TRUE AND CORRECT.	
Executed in, County, State of, on the	day of	
I CERTIFY THIS IS A TRUE COPY Witness my Hand and Seal of Office	Declarant/Authorized Proces	ss Server
July 20, 2017 JAMIE SMITH, DISTRICT CLERK JEFFERSON COUNTY, TEXAS Page 2 of 2	(Id # expiration of certification	on)

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DISTRICT CLERK OF
JEFFERSON CO TEXAS
7/21/2017 10:33 AM
JAMIE SMITH
DISTRICT CLERK

B-200364

NO. B200364

AIG EUROPE LTD.	§	IN THE DISTRICT COURT OF
	0	

Plaintiff,

VS. § JEFFERSON COUNTY, TEXAS

CATERPILLAR INC. AND \$
DRAGON PRODUCTS, LLC \$

Defendants. § 60TH JUDICIAL DISTRICT

DEFENDANT CATERPILLAR INC.'S ORIGINAL ANSWER TO PLAINTIFF'S ORIGINAL PETITION

Defendant Caterpillar Inc. ("Caterpillar") files this Original Answer to Plaintiff AIG Europe Ltd.'s Original Petition and in support shows the following:

I. GENERAL DENIAL

 Pursuant to Rule 92 of the Texas Rules of Civil Procedure, Caterpillar asserts a general denial, denies each and every allegation made by Plaintiff AIG Europe Ltd. ("Plaintiff") and demands strict proof thereof.

II. AFFIRMATIVE DEFENSES

- 2. Subject to and without waiving any other defenses, Caterpillar would show that Plaintiff's alleged injuries and damages are the result of its failure to mitigate by failing to act as one of ordinary prudence would have done under the same or similar circumstances. Such a failure to mitigate is the sole or partial cause in fact of the alleged injuries and damages.
- 3. Caterpillar specifically invokes the provisions of Chapters 32 and 33 of the Texas Civil Practice and Remedies Code, the common law of the State of Texas, and the provisions of any other applicable statutes with respect to the determination of proportionate responsibility of



any party, responsible party, or settling party, and Caterpillar claims the right to make such election or credit settlement as is permitted by statute.

- 4. Additional and alternatively, Caterpillar pleads and reserves the following rights pursuant to Chapter 33 of the Texas Civil Practices and Remedies Code:
 - (a) that it is entitled to and should receive a settlement credit if any party settles;
 - (b) the right to a reduction in liability and damages as determined by the trier of fact for any percentage of responsibility for each claimant, defendant, cross-defendant or counter-defendant, contributing person, responsible third party, liable defendant or settling person or party;
 - (c) the right to a full reduction or limitation of any sums which may be recovered by the claimants in accordance with various assessments of liability by the trier of fact with regards to the responsibility or liability of each claimant, each defendant, crossdefendant, counter-defendant, contributing person, liable defendant, responsible third party, and settling person or party;
 - (d) the right to contribution and indemnification from any other person or entity found to be liable to Plaintiff; and
 - (e) that Caterpillar exercise its right to the full application of the comparative responsibility statute, proportionate responsibility statute, and contributory negligence by the determinations of liability for each defendant, each cross or counter-defendant, each contributing person, each liable defendant, responsible third party and each settling person and/or party.
- 5. Pleading further, in the alternative, and by way of affirmative defense, Caterpillar states that the damages sought by Plaintiff, if any, were proximately caused, in whole or in part, by independent, intervening, or superseding causes, including acts and omissions of others over which Caterpillar had no control or right of control.
- 6. Pleading further, in the alternative, and by way of affirmative defense, Caterpillar further pleads that Plaintiff's alleged damages and Caterpillar's liability, if any, arising from acts or omissions complained of by Plaintiff are barred or limited in whole or in part by the terms of applicable contracts, agreements, disclaimers, and/or warranties.



I CERTIFY THIS IS A TRUE COPY Witness my Hand and Seal of Office 7. Pleading further, in the alternative, and by way of affirmative defense, Caterpillar

further pleads that Plaintiff's claims are barred, in whole or in part, because all express and

implied warranties have been disclaimed as set forth by the terms of the applicable contracts,

agreements, disclosures, and/or warranties.

8. Pleading further, in the alternative, and by way of affirmative defense, Caterpillar

asserts the affirmative defense of misuse and improper maintenance of the subject equipment and

engine.

9. Pleading further, in the alternative, and by way of affirmative defense, Caterpillar

asserts the affirmative defense of lack of contractual privity.

10. Pleading further, in the alternative, and by way of affirmative defense, Caterpillar

asserts that Plaintiff's claims are barred by the applicable statute of limitations.

11. Caterpillar reserves its right to amend its Answer to assert additional defenses,

and other claims and defenses as discovery in this case progresses and as evidence is developed

at trial.

III. PRAYER

WHEREFORE, PREMISES CONSIDERED, Caterpillar Inc. prays that upon the trial or

hearing of this matter, Plaintiff recovers nothing against Caterpillar Inc., that the Petition be

dismissed, that costs of court be assessed against Plaintiff, and for such other and further relief.

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both general and specific, at law or in equity, to which Caterpillar Inc. is justly entitled.

I CERTIFY THIS IS A TRUE COPY

July 24, 2017

Respectfully submitted,

BAKER & HOSTETLER LLP

By: /s/ Brad K. Howell

W. Ray Whitman, lead counsel State Bar No. 21379000 rwhitman@bakerlaw.com Brad K. Howell bhowell@bakerlaw.com State Bar No. 24012860 Matt R. Raley mraley@bakerlaw.com State Bar No. 24051224 811 Main Street, Suite 1100 Houston, Texas 77002-6111 Telephone: 713.751.1600

Facsimile: 713.751.1717

ATTORNEYS FOR CATERPILLAR INC.

CERTIFICATE OF SERVICE

I hereby certify that on July 21, 2017, the foregoing document was forwarded by electronic filing / service to the following counsel of record:

Ryan J. Vechan HILL RIVKINS LLP 55 Waugh Drive, Suite 1200 Houston, Texas 77007 Email: rvechan@hillrivkins.com

Attorneys for Plaintiff AIG Europe Ltd.

> /s/ Matt R. Raley Matt R. Raley





Certified Document Number: 1826913 Total Pages: 4

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